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3 Committee for Veterinary Medicinal Products (CVMP)

4 **Guideline on live recombinant vector vaccines for**
5 **veterinary use**
6 **Draft**

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7 This guideline replaces the 'Guideline on live recombinant vector vaccines for veterinary use'
8 (EMA/CVMP/004/04-FINAL)

9 Comments should be provided using this [template](#). The completed comments form should be sent to
10 IWP@ema.europa.eu

11 **Keywords** ***Vector vaccines, veterinary, quality, safety, efficacy***



12 **Guideline on live recombinant vector vaccines for**
13 **veterinary use**

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32 **Executive summary**

33 The main aim of the guideline is to advise on the data to be presented in applications for a marketing
34 authorisation of live recombinant vector vaccines, taking into account their particular properties.

35 This guideline replaces the Guideline on live recombinant vector vaccines for veterinary use
36 (EMA/CVMP/004/04-FINAL).

37 **1. Introduction (background)**

38 The Guideline on live recombinant vector vaccines for veterinary use (EMA/CVMP/004/04-FINAL) was
39 adopted in December 2004 and came into effect on 8 June 2005. The guideline was developed at a
40 time when only a few vector vaccines were available. Considering the scientific and regulatory
41 developments since then and experiences gained, the CVMP/IWP considered that this guideline should
42 be updated in order to reflect current knowledge and ensure continued relevance for development of
43 commercial vaccines.

44 The requirements for the production and control of immunological veterinary medicinal products
45 (IVMPs) laid down in Regulation (EU) 2019/6 as amended fully apply to live recombinant vector
46 vaccines.

47 The objective of this guideline is to define what should be presented in the quality, safety and efficacy
48 part of the application taking into account the particular properties of live recombinant vector vaccines
49 in the target and non-target species, including the natural host of the parental organism (where
50 relevant).

51 This guideline does not repeat the requirements for environmental risk assessment, already developed
52 in the Note for Guidance on environmental risk assessment for immunological veterinary medicinal
53 products (EMA/CVMP/074/95).

54 In addition, as per the requirements of Regulation (EU) 2019/6 as amended, the applicant has to
55 provide the information relating to the genetically modified organisms (GMOs) as requested in Annex
56 IIIA of Directive 2001/18/EC. As not all the points included in this Annex IIIA will apply to live
57 recombinant vector vaccines, it is not expected that the applicant will address all the points of this
58 Annex.

59 As the headings or titles and the definitions of starting materials differ slightly between Directive
60 2001/18/EC and Regulation (EU) 2019/6, the required data on starting materials, construction of the
61 recombinant organisms and the recombinant vaccines are regarded as part of the history of the master
62 seed as defined in Regulation (EU) 2019/6, Annex II, Section IIIb, Part 2.C.2.1.

63 **2. Scope**

64 Guidance is provided on the data that are expected on quality, safety and efficacy in support of an
65 application for a marketing authorisation for veterinary live recombinant vaccines.

66 Vaccines containing live replication competent or replication-defective micro-organisms (bacteria,
67 viruses, fungi or parasite species) that have been modified to express foreign proteins and/or to
68 include foreign DNA or RNA sequences with the aim to induce an immune response and where the
69 vector acts as a carrier and may itself act as a protective immunogen fall within the scope of this
70 guideline.

71 The current revision concerns in particular an update to align with the new legislation.

72 Deviations from this guideline may be acceptable provided they are scientifically justified.

73 **3. Legal basis**

74 The legal basis for the authorisation of a veterinary medicinal product is laid down in Regulation (EU)
75 2019/6. The present document should be read in particular in conjunction with the introduction and
76 general principles and Section IIIb (requirements for immunological veterinary medicinal products) of
77 Annex II to Regulation (EU) 2019/6, as amended.

78 In addition, Ph. Eur. chapters 5.2.6 and 5.2.7, monograph 0062 and relevant individual monographs
79 should be taken into account, as well as all other relevant EU and VICH guidelines.

80 In addition, for vaccines within the scope of this guideline containing GMOs, the legal requirements as
81 outlined in Article 8.5 of Regulation (EU) 2019/6 will apply.

82 If the vector is to be used as a platform for multiple vaccines, the use of a vaccine platform technology
83 master file (vPTMF) may be applicable. Specific guidance on data requirements for a vPTMF is provided
84 in the respective guideline (EMA/CVMP/IWP/286631/2021).

85 **4. Points to be addressed for a live recombinant vector** 86 **vaccine**

87 **4.1. QUALITY**

88 The vector, bacteria as producer of shuttle plasmids, any genetic material used in the construction, the
89 inserted gene(s) and the final construct should be described in detail. In this context, the final
90 construct is regarded as master seed. Bibliographical references for the source materials could be
91 acceptable, provided they cover the material(s) used for the production of the final construct.

92 The identity and direct relation of the material described in the scientific publication with the master
93 seed(s) of the vaccine should be justified.

94 The description of the starting materials shall include:

95 **4.1.1. Substrates for production/parental organisms**

96 A full description of the starting organisms and plasmids should be provided.

97 The description should cover the material to produce the master seed.

98 **4.1.2. Genetic material used in the construction of the vector**

99 For the plasmids used to construct the live recombinant vector vaccine, all the data available about the
100 construction, the structure, the sequence and the properties should be provided.

101 The recombinant donor plasmid and the bacteria used to produce the plasmid should be described in
102 detail and the information presented should indicate their characteristics and their detailed origin. All
103 the elements in the plasmid should be described, including promoters, enhancers and the selected
104 foreign coding sequences. Information on the analysis conducted to confirm the structure of the donor
105 plasmid should be submitted.

106 As some plasmids are suspected to be insufficiently stable, plasmid instability must be excluded if it
107 may have an impact on the final vector vaccine.

108 The use of antibiotic markers encoding resistance to antibiotics used for therapy should be avoided
109 wherever possible. Transfer of the encoding resistance to the final vector vaccine is unacceptable.

110 **4.1.3 Vectors**

111 The strategy of the construction of the recombinant vector vaccine should be presented as described in
112 Directive 2001/18/EC, Annex IIIA: Part II.C. Characteristics of the modified organism. 1. Information
113 relating to the genetic modification.

114 The method used for construction of the vector vaccine should be described in detail (e.g. homologous
115 recombination, gene knock-in using homology directed repair (HDR) or non-homologous end- joining
116 mediated by Crispr Cas9 or TALENs). Whenever possible, the virulence genes of the vector should be
117 characterised. If applicable, knowledge about the function of deleted and added genes and proteins
118 expressed in the vector has to be provided; a detailed description of markers which are present should
119 be provided.

120 Details of the integration of plasmid DNA into the vector should be presented and should address the
121 impact of the gene insert on the expression of the neighbour genes in the vector, whenever possible.
122 The effect of gene deletion on the biological properties of the live vector should be investigated.

123 The genetic characterisation of the vector should be presented. This should include at least the
124 sequencing of the regions flanking the insertion sites and the sites themselves.

125 **4.1.4 Sequences to be inserted**

126 Characterisation of the inserted sequence with appropriate methods should be performed.

127 The sequences to be transferred to the vector should be clearly defined and sequenced as far as it
128 appears to be necessary to evaluate quality, safety and efficacy of the product.

129 **4.1.5 Characteristics of the final vector vaccine**

130 Information should be presented on the genotype and phenotype of the live recombinant vector and on
131 the methods used for its screening and identification. Data on its genotypic and phenotypic stability,
132 virulence, tissue and host tropism should be submitted as part of the safety package. If the strain is
133 deemed to be replication abortive in the target species, the applicant may confirm this *in vitro* using an
134 appropriate range of cell types from the target species.

135 It should be demonstrated as part of validation of the production process that the recombinant vector
136 vaccine is stable throughout the manufacturing process to the finished product and that the integrated
137 sequences have not undergone any rearrangements or mutations.

138 The antigen(s) expressed by the recombinant vector vaccine should be characterised by biochemical,
139 molecular and/or immunological methods, to demonstrate the quality of the final product.

140 The applicant should provide techniques that allow differentiation between the parent strains of the
141 vector and the vector vaccine.

142 **4.2. SAFETY**

143 **4.2.1. Target species**

144 The safety of the live recombinant vector vaccine should be investigated in the target species for the
145 vaccine according to the requirements of Regulation (EC) 2019/6, Annex II, Section IIIb, Part 3.

146 The following points in particular deserve attention:

147

148 **4.2.1.1 Spread of the recombinant vector vaccine**

149 If the live recombinant vector vaccine has been shown capable of spreading to target and non-target
150 species, adequate evaluation should be performed. For this purpose, safety studies should be
151 conducted for relevant species sharing the same ecosystem as vaccinated animals and focussing on
152 species known to be susceptible to the vector, in particular the natural host species of the parental
153 vector. The range of species to be addressed should be justified.

154 Three steps should be undertaken:

155 - Transmission from vaccinated target animals to non-vaccinated target animals.

156 - Transmission from vaccinated target animals to non-target animals.

157 This includes the most common domestic and, if relevant, wild species, which live in the same
158 environment as the vaccinated target species or may have direct or close contact with them. A risk
159 analysis concerning the extent of the exposure should be performed.

160 - Transmission from vaccinated target animals to humans.

161 If there is a reason to suppose the live recombinant vector vaccine is able to spread to humans, a risk
162 analysis of the pathogenicity of the recombinant vector and of the parent strain in humans should be
163 performed.

164 If transmission of the vector to animals or humans may occur, the conditions for use should be
165 described in detail and suitable information provided in the SPC.

166

167 **4.2.1.2 Dissemination in the vaccinated animals**

168

169 The applicant should investigate possible changes of tissue tropism by comparing the behaviour of the
170 vector and the recombinant vector vaccine.

171 If the recombinant vector is deemed to be replication abortive the applicant should demonstrate this in
172 the target species. A sensitive, validated detection system for the recombinant vector vaccine should
173 be available.

174

175 **4.2.1.3 Increase in virulence**

176

177 Vectors must be non-pathogenic or low pathogenic to a level that will ensure that the resulting
178 recombinant vector vaccine is safe for the intended target species. It has to be demonstrated that the
179 insertion of foreign gene(s) does not lead to an increase in virulence. When appropriate data on
180 genetic and phenotypic stability is available, there is no need for reversion to virulence studies using
181 subsequent passages of the vector vaccine.

182

183 **4.2.2. Ecotoxicity**

184

185 Ecotoxicity should fully rely on the requirements of Regulation (EU) 2019/6 (Annex II) and Directive
186 2001/18/EC (Annex II).

187 The possible ecotoxicological effects of the vector vaccine have to be assessed as follows:

188 - Study of virulence to target and non-target species at risk see also 4.2.1.1.

- 189 - Horizontal transmission and potential of recombination of the vector vaccine or part of it.
190 - Host-range specificity
191 - Potential for establishment in the environment (e.g. dissemination of baits in the environment,
192 persistence of the product in the environment at various climate conditions).
193 - A validated method should be provided that is able to detect the vector vaccine in the field and to
194 differentiate it from the wild-type microorganism/antigen and/or inserted sequences (see also Directive
195 2001/18/EC, Annex IIIA, Part V.A.1), especially if diseases caused by the wild strains are subject to
196 eradication and control programs.
197 - Data from other assessments performed with the same vector but other inserted sequences could be
198 used as well, as long as the new insert does not change the characteristics and specifications of the
199 final construct.

200 **4.3. EFFICACY**

201 The requirements of Regulation (EU) 2019/6 Annex II, Section IIIb, Part 4 fully apply. The efficacy of
202 each of the components of a vector vaccine shall be demonstrated. Immunogenicity tests described in
203 relevant Ph. Eur. monographs (i.e. for corresponding live vaccines) shall be performed and vector
204 vaccines should comply with the requirements. If monograph requirements for immunogenicity cannot
205 be met, the benefit-risk evaluation may still be positive if the safety of the vector vaccine is superior to
206 that of the live vaccine and depending on the level of protection shown.

207 In case of vectors for which no efficacy claim is made, the immune response against the vector after
208 vaccination should be documented and the impact on current vaccination schedules must be
209 considered. Appropriate information on the properties of the recombinant vector should be included in
210 section 4 of the SPC (immunological information).

211 The effect of pre-existing immunity to the vector and/or the foreign antigen(s) expressed by the vector
212 on the efficacy should be addressed.

213 The possibility to boost the induced immunity against the vector antigen and/or the foreign antigen(s)
214 within a claimed/intended vaccination schedule should be investigated if booster vaccinations are
215 deemed necessary.

216 **Definitions**

217 Vector vaccine: vaccines containing live replication competent or replication-defective micro-organisms
218 (bacteria, viruses, fungi or parasite species) that have been modified to express foreign proteins
219 and/or to include foreign DNA or RNA sequences with the aim to induce an immune response and
220 where the vector acts as a carrier and may itself act as a protective immunogen.

221 Organism: any biological entity capable of replication or of transferring genetic material.

222 Genetically modified organism (GMO): an organism, with the exception of human beings, in which the
223 genetic material has been altered in a way that does not occur naturally by mating and/or natural
224 recombination.

225

226 **References**

- 227 Guideline on data requirements for vaccine platform technology master files (vPTMF)
228 (EMA/CVMP/IWP/286631/2021)
- 229 Directive 2001/18/EC of the European Parliament and of the Council of 12 March 2001 on the
230 deliberate release into the environment of genetically modified organisms and repealing Council
231 Directive 90/220/EEC
- 232 Regulation (EU) 2019/6 of the European parliament and of the council of 11 December 2018 on
233 veterinary medicinal products and repealing Directive 2001/82/EC
- 234 Note for Guidance: Environmental risk assessment for immunological veterinary medicinal products
235 (EMA/CVMP/074/95)